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More on regular and decomposable ultrafilters in ZFC. (English summary)

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The paper under review is part of the author's systematic study of regular ultrafilters. Recall that a (λ, μ) -regular ultrafilter D is one where there is a λ -sequence of members of D such that any μ of them have empty intersection. This notion is due to H. J. Keisler [see *Bull. Amer. Math. Soc.* **70** (1964), 644–647; [MR0166106 \(29 #3384\)](#)], and has been widely studied for its connections with model theory and set theoretic topology. Its study quickly leads to pcf theory and to relations with large cardinals; see the carefully compiled list of references in the paper.

The results in the paper hold under ZFC. In particular, they do not deal with consistency results obtained under additional large cardinal or fine structural assumptions. A common theme is the relation between degrees of regularity and decomposability of ultrafilters. Recall that an ultrafilter D over a set I is λ -decomposable iff I can be partitioned into λ pieces in such a way that any union of fewer than λ pieces is not in D . Many results are obtained, the literature in the subject is recalled, and additional problems and conjectures are stated. What follows is a small sample of results.

Theorem 4.3.a' is the statement that if $m \geq 1$ and D is $(\beth_m(\kappa^{+n}), \beth_m(\kappa^{+m}))$ -regular, then it is μ -decomposable for some $\mu \in [\kappa, 2^\kappa]$. The connection goes through extensions of classical results that relate regularity of ultrafilters to the cardinality of ultrapowers. Specifically, if the ultrapower by D of 2^{2^κ} has size strictly larger than 2^{2^κ} , then D is μ -decomposable for some $\mu \in [\kappa, 2^\kappa]$. The way that regularity enters the picture is through Proposition 4.1:

$$\left| \prod_D \nu^\kappa \right| \leq \left| \prod_D \nu^{<\kappa} \right|^{\text{cf}(\prod_D(k, <))}$$

for any D and any cardinals ν and κ , but the regularity of D affects the cofinality of its ultrapowers. For example, it is classic that if λ is regular and D is (λ, κ) -regular, then $\text{cf}(\prod_D(\lambda, <)) > \kappa$.

Many results of the paper concern the *transference* of regularity. For example, Corollary 6.4 shows that if $\kappa > \lambda$, D is (λ^+, κ) -regular, κ is regular, and λ is singular, then D is either (λ, κ) -regular, or (ω, κ') -regular for any $\kappa' < \kappa$. This and additional transference results in the presence of singular cardinals are obtained by extending arguments of Kanamori and Ketonen dealing with least functions [see A. Kanamori, *Trans. Amer. Math. Soc.* **220** (1976), 393–399; [MR0480041 \(58 #240\)](#)]. Recall that if D is an ultrafilter over I , a function $f: I \rightarrow \kappa$ is κ -least for D iff:

- (1) $\{i \in I \mid \alpha < f(i)\} \in D$ for any $\alpha < \kappa$, and
- (2) for any $g: I \rightarrow \kappa$, if $\{i \in I \mid g(i) < f(i)\} \in D$, then there is an $\alpha < \kappa$ such that $\{i \in I \mid g(i) < \alpha\} \in D$.

Recall that if $\mu \leq \lambda' \leq \lambda$, then the covering number $\text{cov}(\lambda, \lambda', \mu)$ denotes the smallest size of a family of subsets of λ , each of size strictly less than λ' , such that any subset of λ of size less than μ is contained in at least one set in the family. In Theorem 5.1 it is shown that if λ is limit and D is ν -decomposable for arbitrarily large $\nu < \lambda$, then it is κ -decomposable for some $\kappa \in [\lambda, \lambda^{\text{cf}(\lambda)}]$. If in addition λ is singular and D is $(\mu, \text{cf}(\lambda))$ -regular then, for every $\lambda' \in [\mu, \lambda)$, there is a $\kappa \in [\lambda, \text{cov}(\lambda, \lambda', \mu)]$ such that D is κ -decomposable.

There are additional results relating regularity of product ultrafilters to the regularity of their factors, and applications in abstract model theory, and set theoretic topology.

Reviewed by *Andrés Eduardo Caicedo*

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